

# Introduction to Relative Trace Formulas

## Problem set 1

Notation :  $K$  is a global field (number field or function field),  $\mathbf{A}$  its ring of adeles decomposed as  $\mathbf{A} = K_\infty \times \mathbf{A}_f$  (where  $K_\infty = 1$  in the function field case),  $|\cdot| : \mathbf{A} \rightarrow \mathbf{R}_+$  the normalized absolute value,  $G$  a connected reductive group over  $K$ ,  $H_1, H_2 \subset G$  two closed algebraic subgroups and  $\chi_i : [H_i] \rightarrow \mathbf{C}^\times$  automorphic characters.

We will also denote by  $A$  the standard split torus in  $\mathrm{PGL}_2$  :

$$A = \begin{pmatrix} \star & \\ & 1 \end{pmatrix} \subset \mathrm{PGL}_2.$$

**Exercise 1** 1. Show that if  $X$  is a quasiaffine<sup>1</sup> variety over  $K$  then  $X(K) \subset X(\mathbf{A})$  is discrete. (If you wonder how to define properly the topology on  $X(\mathbf{A})$ , and even if you don't, you may check the wonderful notes of B. Conrad <https://math.stanford.edu/~conrad/papers/adelictop.pdf>).

2. Deduce that if  $X$  is a quasiaffine  $G$ -variety, then for every  $f \in C_c^\infty(X(\mathbf{A}))$  the theta series

$$\Theta_f^X(g) := \sum_{x \in X(K)} f(xg), \quad g \in G(\mathbf{A}),$$

converges.

3. Assume that  $G$  is semisimple,  $X := H \backslash G$  is quasiaffine and  $K$  is a function field. Then, show that for every cusp form  $\varphi \in A_{\mathrm{cusp}}(G)$  the period integral

$$P_H(\varphi) = \int_{H(K) \backslash H(\mathbf{A})} \varphi(h) dh$$

converges. (We recall that in this situation cusp forms are compactly supported. The same statement holds over number fields but requires first showing that  $\Theta_f^X$  is of moderate growth in a suitable sense, see <https://arxiv.org/abs/1602.06538> Appendix A.)

**Exercise 2** Let  $f \in C_c^\infty(G(\mathbf{A}))$  and

$$K_f(x, y) = \sum_{\gamma \in G(K)} f(x^{-1}\gamma y)$$

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1. Recall that a quasiaffine variety is an open subset of an affine variety.

its automorphic kernel. Check that for every compact subset  $C \subset [G]$ , the function

$$(x, y) \in C \times [G] \mapsto K_f(x, y)$$

is compactly supported. Deduce that if  $[H_1]$  is compact, the expression defining the relative trace formula

$$\text{RTF}_{\mathfrak{X}}(f) = \int_{[H_1] \times [H_2]} K_f(h_1, h_2) \chi_1(h_1) \chi_2(h_2) dh_1 dh_2$$

is absolutely convergent and show that it admits the geometric expansion

$$\text{RTF}_{\mathfrak{X}}(f) = \sum_{\substack{\gamma \in H_1(K) \backslash G(K) / H_2(K) \\ \chi_1 \otimes \chi_2|_{(H_1 \times H_2)_\gamma} = 1}} \text{vol}([(H_1 \times H_2)_\gamma]) \text{Orb}(\gamma, f)$$

where

$$\text{Orb}(\gamma, f) = \int_{(H_1 \times H_2)_\gamma(\mathbf{A}) \backslash (H_1 \times H_2)(\mathbf{A})} f(h_1^{-1} \gamma h_2) \chi_1(h_1) \chi_2(h_2) dh_1 dh_2$$

is the relative orbital integral.

**Exercise 3** Construct the indicated isomorphisms of GIT quotients and identify their semi-simple regular loci.

- (i)  $\mathbb{A}^1 / \mathbb{G}_m \simeq \text{pt}$  for the action  $(t, x) \mapsto tx$ ;
- (ii)  $\mathbb{A}^2 / \mathbb{G}_m \simeq \mathbb{A}^1$  for the action  $t \cdot (x, y) = (tx, t^{-1}y)$ ;
- (iii)  $(A \backslash \text{PGL}_2) / A \simeq \mathbb{A}^1$ .

**Exercise 4** Let  $\chi_0, \chi_\infty : [\mathbb{G}_m] \rightarrow \mathbf{C}^\times$  be ideles class characters. Define

$$\mathcal{F}_{\chi_0, \chi_\infty}([\mathbb{G}_m])$$

to be the space of functions  $f : [\mathbb{G}_m] \rightarrow \mathbf{C}$  satisfying the following conditions :

- $f$  is smooth i.e. it is right invariant by a compact-open subgroup of  $\mathbf{A}_f^\times$  and for every  $t_f \in \mathbf{A}_f^\times$  the function

$$t_\infty \in K_\infty^\times \mapsto f(t_\infty t_f)$$

is  $C^\infty$  in the usual sense.

- There exist constants  $c_0 = c_0(f)$  and  $c_\infty = c_\infty(f) \in \mathbf{C}$  such that for every invariant differential operator  $D$  on  $K_\infty^\times$  and every  $N > 0$  we have

$$|D(f - c_\infty \chi_\infty)(t)| \ll_{D, N} |t|^{-N}, \text{ for } |t| \geq 1,$$

$$|D(f - c_0 \chi_0)(t)| \ll_{D, N} |t|^N, \text{ for } |t| \leq 1.$$

The subspace of  $f \in \mathcal{F}_{\chi_0, \chi_\infty}([\mathbb{G}_m])$  with  $c_0 = c_\infty = 0$  is the space  $\mathcal{S}([\mathbb{G}_m])$  of rapidly decaying (aka Schwartz) functions on  $[\mathbb{G}_m]$ , so that we have a short exact sequence of  $\mathbf{A}^\times$ -representations

$$0 \rightarrow \mathcal{S}([\mathbb{G}_m]) \rightarrow \mathcal{F}_{\chi_0, \chi_\infty}([\mathbb{G}_m]) \rightarrow \mathbf{C}_{\chi_0} \oplus \mathbf{C}_{\chi_\infty} \rightarrow 0$$

whose last nonzero map is  $f \mapsto (c_0(f), c_\infty(f))$ .

(i) Show that if  $\chi_0 \neq 1 \neq \chi_\infty$  then there exists a unique  $\mathbf{A}^\times$ -invariant linear form

$$\int_{[\mathbb{G}_m]}^{\text{reg}} : \mathcal{F}_{\chi_0, \chi_\infty}([\mathbb{G}_m]) \rightarrow \mathbf{C}$$

whose restriction to  $\mathcal{S}([\mathbb{G}_m])$  is the (convergent) integral

$$f \mapsto \int_{[\mathbb{G}_m]} f(t) dt.$$

(ii) Let  $X_1 = \mathbb{A}^1$  equipped with the usual  $\mathbb{G}_m$ -action (by scaling). Show that for every  $f \in \mathcal{S}(\mathbf{A})$  (the Schwartz-Bruhat space of  $\mathbf{A}$ ), we have

$$\Theta_f^{X_1} \in \mathcal{F}_{|\cdot|^{-1}, 1}([\mathbb{G}_m]).$$

**Hint :** Use Poisson summation formula to control the behavior of  $\Theta_f^{X_1}(t)$  when  $|t| \rightarrow 0$ .

(iii) Let  $X_2 = \mathbb{A}^2$  equipped with the  $\mathbb{G}_m$ -action given by  $t \cdot (x, y) = (tx, t^{-1}y)$ . Show that for every  $f \in \mathcal{S}(\mathbb{A}^2)$ , we have

$$\Theta_f^{X_2} \in \mathcal{F}_{|\cdot|^{-1}, |\cdot|}([\mathbb{G}_m]).$$

(iv) Let  $X_3 = A \backslash \text{PGL}_2$  equipped with the  $\mathbb{G}_m = A$ -action by right translation. Show that for every  $f \in C_c^\infty(A(\mathbb{A}) \backslash \text{PGL}_2(\mathbf{A}))$ , we have

$$\Theta_f^{X_3} \in \mathcal{F}_{|\cdot|^{-1}, |\cdot|}([\mathbb{G}_m]).$$

**Hint :** Show first that there exists an open cover  $X_3 // \mathbb{G}_m = V_1 \cup V_2$  as well as an open subset  $V \subset X_2 // \mathbb{G}_m$  such that, setting  $U_1 = \pi_{X_3}^{-1}(V_1)$ ,  $U_2 = \pi_{X_3}^{-1}(V_2)$  and  $U = \pi_{X_2}^{-1}(V)$ , we have  $\mathbb{G}_m$ -equivariant isomorphisms  $U_1 \simeq U_2 \simeq U$ . Then, try to use a “partition of unity” kind of argument to reduce to point (iii).

### Exercise 5 Set

$$[\mathbb{G}_m]^1 = \{t \in [\mathbb{G}_m] \mid |t| = 1\}.$$

It is a compact subgroup of  $[\mathbb{G}_m]$ .

(i) Let  $\chi_0, \chi_\infty$  be characters of  $[\mathbb{G}_m]$  whose restrictions to  $[\mathbb{G}_m]^1$  are non trivial. Show that, for  $f \in \mathcal{F}_{\chi_0, \chi_\infty}([\mathbb{G}_m])$ , the function

$$a \in [\mathbb{G}_m] \mapsto \int_{[\mathbb{G}_m]^1} f(at) dt$$

belongs to  $\mathcal{S}([\mathbb{G}_m])$ , in particular is integrable, and that the regularized integral of  $f$  is given by

$$\int_{[\mathbb{G}_m]}^{\text{reg}} f(a) da = \int_{[\mathbb{G}_m]} \int_{[\mathbb{G}_m]^1} f(at) dt da,$$

provided we normalize the Haar measure on  $[\mathbb{G}_m]^1$  to have total mass one.

- (ii) Let  $X = \mathbb{A}^2$  with the  $\mathbb{G}_m$ -action  $t \cdot (x, y) = (tx, t^{-1}y)$ . Let  $f \in \mathcal{S}(\mathbf{A})$  and  $\eta : [\mathbb{G}_m] \rightarrow \mathbf{C}^\times$  a character with  $\eta \neq | \cdot |, | \cdot |^{-1}$ . Note that by (i) and (iii) of the previous exercise there is a well defined regularized integral

$$\int_{[\mathbb{G}_m]}^{\text{reg}} \Theta_f^X(t) \eta(t) dt.$$

Show that it admits the following geometric expansion

$$\int_{[\mathbb{G}_m]}^{\text{reg}} \Theta_f^X(t) \eta(t) dt = \sum_{a \in K} \text{Orb}_a(f)$$

where

$$\text{Orb}_a(f) = \int_{\mathbf{A}^\times} f(ta, t^{-1}) \eta(t) dt$$

if  $a \neq 0$ , and

$$\text{Orb}_0(f) = \left( \int_{\mathbf{A}^\times} f(t, 0) \eta(t) |t|^s dt + \int_{\mathbf{A}^\times} f(0, t) \eta(t)^{-1} |t|^{-s} dt \right)_{s=0}.$$

**Hint :** We recall the following basic result from Tate's thesis : for  $\varphi \in \mathcal{S}(\mathbf{A})$  the integral

$$\int_{\mathbf{A}^\times} \varphi(t) |t|^s dt$$

is convergent for  $\Re(s) \gg 1$  and admits a meromorphic continuation which is regular at  $s = 0$  if  $\eta \neq 1, | \cdot |$  and at most a simple pole at  $s = 0$  when  $\eta = 1$  with residue  $\varphi(0)$ .

**Exercise 6** Let  $H$  be a connected reductive group over a field  $k$  and  $Y$  an affine  $H$ -variety. We denote by  $\pi : Y \rightarrow Y//H$  the canonical map.

- (i) Let  $a \in (Y//H)_{rs}(k)$ ,  $\mathcal{O} = \pi^{-1}(a)$ . Recall that  $\mathcal{O}(k^{sep})$  is a single  $H(k^{sep})$ -orbit. Assume that  $\mathcal{O}(k) \neq \emptyset$ , pick  $y \in \mathcal{O}(k)$  and let  $H_y$  be its stabilizer. Show that the map

$$\mathcal{O}(k)/H(k) \rightarrow \text{Ker}(H^1(k, H_y) \rightarrow H^1(k, H)),$$

$$[z] \mapsto (\sigma \in \Gamma_k \mapsto \sigma(h_z) h_z^{-1}),$$

where  $h_z \in H(k^{sep})$  is any element such that  $z = yh_z$ , is well defined and a bijection.

- (ii) Let  $\alpha \in H^1(k, H)$ ,  $T_\alpha$  the corresponding  $H$ -torsor over  $k$  (with  $H$  acting on the left),  $H_\alpha = \text{Aut}_H(T_\alpha)$  the corresponding Pure Inner Form of  $H$  (whose defining action on  $T_\alpha$  we take to be a right action). Check that

$$Y_\alpha := Y \times^H T_\alpha,$$

where  $Y \times^H T_\alpha$  (contracted product) stands for the quotient  $(Y \times T_\alpha)//H$  by the diagonal action  $(y, t) \cdot h := (yh, h^{-1}t)$ , is an affine  $H_\alpha$ -variety and that there is a natural identification of GIT quotients

$$Y_\alpha//H_\alpha \simeq Y//H.$$

- (iii) Assume that  $X = I \setminus H$  is a homogeneous variety. Let  $\alpha \in H^1(k, H)$ . Show that  $Y_\alpha(k)$  is nonempty if and only if  $\alpha$  is in the image of the map  $H^1(k, I) \rightarrow H^1(k, H)$ .
- (iv) Assume that every  $y \in Y_{rs}$  has a trivial stabilizer. Show that the quotient maps  $Y_\alpha \rightarrow Y_\alpha // H_\alpha$  induce a bijection

$$\bigsqcup_{\alpha \in H^1(k, H)} Y_{\alpha, rs}(k) / H_\alpha(k) \simeq (Y // H)_{rs}(k).$$

**Hint :** You can remark that for every  $a \in (Y // H)_{rs}(k)$ ,  $\mathcal{O}_a = \pi^{-1}(a)$  is a  $H$ -torsor.